PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Sean Badenhorst et al.

Confirmation No.: 5024

Appln. No.: 10/539,841

Art Unit: 3744

Filed: 11/28/2005

Examiner: NORMAN, MARC E

For: METHOD FOR OPERATING AN AIR CONDITIONING SYSTEM

Attorney Docket No.: 3926.172

Customer No.: 30448

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited either by facsimile to 571-273-8300 or with the U.S. Postal Service as First Class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 21, 2006.

Yonghong Chen

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the non-US documents set forth below and listed on the attached Form PTO/SB/08A, copy of the International Search Report (ISR) and a German Examination Report dated July 16, 2003 are provided herewith.

- 1. US 6,494,777 B1
- 2. DE 198 47 504 C1 (English abstract provided)
- 3. EP 1 155 886 A1 (in English)

{WP336141;1}

- 4. US 5,876,277
- 5. English abstract of JP 59 109413 A
- 6. English abstract of JP 9 152238 A
- 7. WO 00/59748 A1 (has English abstract)
- 8. DE 299 01 070 U1 (English abstract provided)
- 9. DE 198 29 998 A1 (English abstract provided)
- 10. DE 198 50 914 A1 (English abstract provided)
- 11. DE 196 07 637 A1 (English abstract provided)
- 12. DE 198 03 196 A1 (English abstract provided)
- 13. DE 197 50 133 C2 (English abstract provided)
- 14. DE 197 20 293 C1 (English abstract provided)

Documents 1-7 are cited in the ISR. Document 8-12 are cited in the German Examination Report dated July 16, 2003. Documents 10 and 13-14 are mentioned in the background of the specification.

The present Information Disclosure Statement is being filed within three months of the filing date of the instant application or before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner

Application No: 10/539, 841 Information Disclosure Statement

Attorney Docket No: 3926.172

and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with MPEP §609.

Date: September 21, 2006

Respectfully submitted

Yonghong Chen

Registration No. 56,150

Akerman Senterfitt

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PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO

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Sheet 1

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te for form 1449/PTO	Complete if Known			
o to	Application Number	10/539,841		
ORMATION DISCLOSURE	Filing Date	11/28/2005		
	First Named Inventor	Sean Badenhorst		
TEMENT BY APPLICANT	Art Unit	3744		
(Use as many sheets as necessary)	Examiner Name	NORMAN, MARC E		
0, 12	Attorney Docket Number	3926.172		

				DOCUMENTS	Dance Columns Lines Where
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,494,777 B1	12-17-2002	Chiang	
		^{US-} 5,876,277	03-02-1999	Uemura et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
-		DE 198 47 504 C1	11-16-2000	Deutsche Bahn AG		L
		EP 1 155 886 A1	11-21-2001	Zexel Valeo Climate Control Corporation		
		JP 59 109413 A	06-25-1984	NIPPON DENSO CO LTD		L
		JP 9 152238 A	06-10-1997	SANYO ELECTRIC CO LTD		
		WO 00/59748 A1	10-12-2000	BOSCH AUTOMOTIVE SYSTEMS CORPORATION		
		DE 299 01 070 U1	07-08-1999	Kerger, Henrike		\Box

Examiner	 Date	-
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/539,841			
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First Named Inventor	Sean Badenhorst			
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Examiner Name	NORMAN, MARC E			
Attorney Docket Number	3926.172			

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Examiner Cite Initials* No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	١.
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	ľ
		DE 198 29 998 A1	10-28-1999	Lohrer, Egon		
		DE 198 50 914 A1	05-18-2000	Messer Griesheim GmbH		
		DE 196 07 637 A1	09-04-1997	Kuehl Entwicklung und Geraetebau GmbH		
		DE 198 03 196 A1	08-06-1998	Denso Corp.		
		DE 197 50 133 C2	04-06-2000	WWU Wissenschaftliche Werkstatt für Umweitmeßlechn		L
		DE 197 20 293 C1	06-04-1998	Daimler-Benz Aktiengesellschaft	· · · · · ·	

Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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